

REQUEST FOR PROPOSALS PROFESSIONAL SERVICES

FOR THE

DR. MARTIN LUTHER KING JR. BOULEVARD UNDERPASS RECONSTRUCTION PROJECT APPROVAL AND ENVIRONMENTAL DOCUMENTATION PHASE PROJECT NO. WT24030

Issued by:

City of Stockton

Public Works Department

22 E. Weber Avenue, Room 301 Stockton, CA 95202

Date Issued: June 5, 2023

Date Proposals Due: July 21, 2023, 3:00 PM

1.0 INTRODUCTION

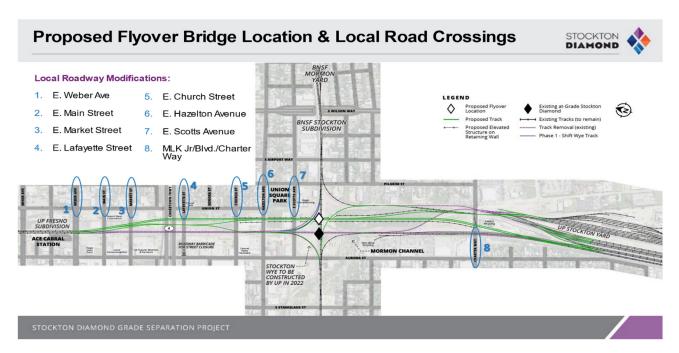
The City of Stockton is seeking proposals from professional engineering firms to assist the City through the Project Approval and Environmental Documentation (PA&ED) phase of the Dr. Martin Luther King Jr. (MLK) Boulevard Underpass Reconstruction project. PA&ED includes preparation of preliminary design, California Environmental Quality Act (CEQA and the National Environmental Policy Act (NEPA) documents, public outreach, and identification of right-of-way. This project is likely to require the expertise of a multidisciplinary team consisting of transportation planning, civil and environmental engineering, and public relations. Consultants are encouraged to team with local Stockton firms as much as possible to accomplish all tasks necessary to complete the project.

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2.0 BACKGROUND

The San Joaquin Regional Rail Commission is currently sponsoring the Stockton Diamond Grade Separation project. This large rail infrastructure project will eliminate the at-grade rail intersection of the Union Pacific Railroad (UPRR) and the Burlington, Northern and Santa Fe Railroad (BNSF). The at-grade intersection between these two major railways is located about a half mile north of the Dr. MLK Jr. Boulevard underpass and is one of the busiest and most congested rail bottlenecks in California. The Stockton Diamond Grade Separation project will construct a grade separation crossing by elevating UPRR tracks over the BNSF Railway mainline. Grade separating the UPRR tracks over BNSF will enhance passenger and freight rail operations, efficiency and safety as the tracks are shared with the Altamont Corridor Express and Amtrak San Joaquin passenger rail services.

The Stockton Diamond project will modify eight (8) existing street crossings and perform track related structure work at the existing Dr. MLK Jr. Boulevard. underpass. The locations of work can be seen below:

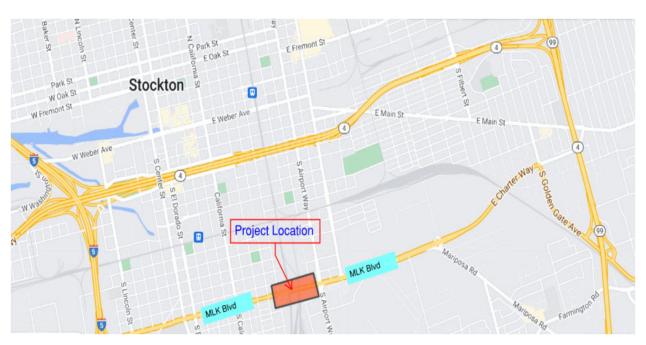


New rail bridges will be constructed over Dr. MLK Jr. Boulevard, Scotts Avenue, Hazelton Avenue, and Church Street. At-grade modifications will be made to existing crossings of Lafayette, Main Street, Market Street, and Weber Avenue. Two crossings, Church Street and Scotts Avenue, are targeted for closure. The Stockton Diamond Grade Separation project is expected to start construction in June 2024 and be completed by June 2027.

3.0 PROJECT DESCRIPTION

Dr. MLK Jr. Boulevard between Grant Street and Airport way has roadway underpass structures that support UPRR tracks. These underpasses and related structures were built in the 1930's and were inherited by the City when the State of California constructed the crosstown freeway through Stockton. The existing facilities are antiquated and in a state of disrepair. Further, the underpass and structures are obsolete as they do not comply with today's geometric standard nor comply with accessibility standards for vehicles, bicycles, or pedestrians.

The Union Pacific Railroad operates a maintenance facility just south of Dr. MLK Jr. Boulevard. With the Stockton Diamond project, the Rail Commission will construct two new railroad overpasses over Dr. MLK Jr. Boulevard that will carry UPRR mainline tracks. One track will remain on the old, westerly railroad bridge. The existing underpasses will not be modified as part of the Stockton Diamond project.





Existing Underpass

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The Dr. MLK Jr. Boulevard Underpass reconstruction project is a jointly sponsored project between the City of Stockton and the San Joaquin Regional Rail Commission. The project proposes to construct a new railroad bridge to carry the one remaining connector track over Dr. MLK Boulevard. In addition, the project will demolish the old, antiquated bridges and associated facilities, and will reconstruct Dr. MLK Jr. Boulevard to provide modern complete streets facilities for vehicles, pedestrians, and bicyclists. The new Dr. MLK Jr. Boulevard will provide new and uninterrupted connectivity of communities east and west of the railroad corridor; a sorely needed asset to the community as today pedestrians and bicyclists presently cannot easily cross at this location.

The project at this time will be to provide preliminary design, identification of right-of-way needs, and NEPA and CEQA environmental documents that will clear the path to future PS&E and construction phases.

4.0 SCOPE OF WORK

Each consultant shall prepare a detailed scope of services based on the information presented in his Request for Proposals (RFP) and other available information. Consultants are encouraged to include items that are deemed necessary for this project. The City is seeking professional engineering and environmental services to set the project up for future funding.

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4.1 Background Research

The Consultant shall research and review existing topographic mapping, right-of way maps, "as-built" plans, record maps, surveys, assessor maps, improvement plans, and other information as needed for the project. The Consultant shall conduct field reconnaissance of the project limits.

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4.2 Environmental Services

The consultant shall prepare the environmental documents needed to obtain and secure environmental clearance under CEQA and NEPA. Consultant should assess the project scope and determine the proper level of documents to prepare, including any technical studies that will be required.

As background, environmental documents for the Stockton Diamond project are available at the following web address: https://stocktondiamond.com/resources.

4.3 Utility Coordination

The consultant will be responsible for performing utility investigations and coordination with utility owners throughout the project development process. The Consultant will be present at all necessary meetings with each utility owner. The consultant will be responsible for, but not limited to, the following:

Prepare a project limits map and request utility information from each utility owner within the area of work.

Prepare Utility Letter "A" during preliminary design phase to the affected utility owners for City to review and approve prior to transmitting to utility owners. Information on existing utilities obtained as a result of the "A" letter will be transferred to base maps in both plan and profile view. A copy of the utility data obtained from utility owners will be provided to the City and the originals will be filed in the project files.

Consultant shall pothole for utilities to verify location and depth of all utilities. Consultant may propose to use other technologies as appropriate. All utility information shall be shown on the project plans.

4.4 **Preliminary Design**

Consultant shall provide preliminary design to 60 percent level in PDF format as part of the PA/ED scope of work. Design documents will be reviewed by the City

using Bluebeam. Consultants will be required to use Bluebeam to receive and respond to comments from the City.

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4.5 **Public Outreach**

Consultant shall prepare a public outreach plan appropriate for PA/ED scope of work. Once the public outreach plan is approved by the City, the consultant will be expected to coordinate and perform all necessary public outreach activities, including public meetings, flyers, newsletters, or other forms of outreach as appropriate.

4.6 **Coordination/Meetings**

Consultant project team shall arrange and perform regular coordination meetings via Microsoft Teams. Frequency of meetings can be negotiated.

4.7 <u>Preserving and Perpetuating Survey Monuments</u>

The Consultant shall identify, list, and show existing survey monuments on construction plans. Consultant shall file a Corner Record or Record of Survey with San Joaquin County for monuments within the project limits that have not been previously recorded and submit a copy to the City. The Consultant shall include language in the PS&E package to preserve all monumentation affected by the work being performed in accordance with Section 8771 of the Professional Land Surveyors Act in the Business and Professionals Code of the State of California.

4.8 Right of Way

Consultant shall perform surveys and identify any temporary or permanent rightof-way that will be needed for the project. The consultant shall prepare a right of way report that details the properties affected, type and size of easement needed, as well as a key map that depicts the different types of rights that will be needed. The consultant shall also prepare all legal descriptions and plat maps needed for the City to secure the right-of-way.

5.0 PROJECT GENERAL INFORMATION

5.1 **Proposal Submissions**

Proposals shall be submitted no later than 3:00 PM on July 21, 2023, to:

WES JOHNSON CITY OF STOCKTON 22 E. WEBER AVENUE, ROOM 301 STOCKTON, CA 95202

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Five copies of the proposal should be firmly sealed in an envelope which will clearly be marked on the outside with "MARTIN LUTHER KING BOULEVARD UNDERPASS RECONSTRUCTION". The cost proposal must be in a sealed envelope separate from the proposal. Late Proposals will not be accepted. An electronic copy of the proposal should be emailed to Nguyet Pham at Nguyet.Pham@stocktonca.gov and Wes Johnson at Wes.Johnson@stocktonca.gov This electronic submittal should not include the cost proposal.

5.2 Acceptance or Rejection of Proposal

The City reserves the right to negotiate an agreement with the firm submitting the highest-ranking proposal. Also, the City reserves the right to reject any and all proposals or to waive any irregularity in a proposal if it is deemed to be in the best interest of the City. Failure to submit all the requested information could be grounds to reject the proposal.

5.3 Proposal Questions and Requests for Clarification

Any question or request for clarification shall be submitted in writing to:

Wes Johnson at Wes.Johnson@stocktonca.gov

Requests for clarification shall be submitted at least seven (7) business days prior to the proposal due date. If a response warrants an addendum to the RFP, such an addendum will be posted on bidflash at least two days prior to the proposal due date. It is the proposer's responsibility to check the website for any addendums or responses to questions. The website address is as follows:

http://www.stocktongov.com/services/business/bidflash/pw.html?dept=Public Works

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5.4 Causes for Disqualification

Any of the following may be considered cause to disqualify a proponent without further consideration:

- A. Evidence of collusion among proponents.
- B. Any attempt to improperly influence any member of the evaluation panel.

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- C. Any attempt to communicate in any manner with a City of Stockton elected official during the RFP/bid process will, and shall be, just cause for disqualification/rejection of proponent's proposal/Proponent's bid submittal and considered non-responsive.
- D A proponent's default in any operation of a professional services agreement which resulted in termination of that agreement; and/or
- E. Existence of any lawsuit, unresolved contractual claim, or dispute between proponent and the City.
- F. No person, firm, or corporation shall be allowed to make or file or be interested in more than one bid for the same supplies, services, or both; provided, however, that subcontract bids to the principal bidders are excluded from the requirements of this section: Section 3.68.120 of the Municipal Code.

5.5 <u>Licensing Requirements</u>

Any professional certifications or licenses that may be required are the sole cost and responsibility of the proposer. A City of Stockton business license is required. Please contact the City of Stockton Business License Customer Service at (209) 937-8313.

5.6 <u>Insurance Requirements</u>

The proposer must obtain and maintain the required insurance. Proposer should review Attachment B, Instructions to Proposers for information regarding insurance, indemnification, Disadvantaged Business Enterprises, prevailing wages, etc... Failure to comply with the Instructions to Proposers may be grounds for rejection.

5.7 Department of Industrial Relations

Please refer to Attachment B, Instructions to Proposers, for registration requirements with the Department of Industrial Relations.

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5.8 **Product Ownership**

Any documents resulting from the performance of work in the contract will become property of the City. This includes all work performed by subconsultants.

6 REQUIRED PROPOSAL CONTENT

The proposal shall contain the following, at a minimum:

- Cover Letter
- Table of Contents
- Executive Summary
- Project Team
- Project Understanding
- Detailed Work Plan
- Examples of experience with similar types of work
- References
- Schedule
- Cost Proposal (Separate Sealed Envelope)

The body of the technical proposal shall not exceed 30 pages with a minimum font size of 10. The proposer shall submit 5 bound sets of the proposal. The maximum allowable length is exclusive of any folder, cover, or section dividers. Proposals shall be no more than 50 pages, including resumes and the cover letter.

6.1 Cover Letter

The letter shall be signed by an official with the authority to negotiate and contractually bind the firm with the City of Stockton. Provide name, title, address, email, and telephone number for this officer. Describe any subcontract arrangements or licensing agreements. Include any potential conflict of interest.

6.2 Table of Contents

The proposal should include a table of contents.

6.3 Executive Summary

The Executive Summary shall include a summary of the proposal, emphasizing the approach to be taken and including a work plan, schedule, and description of the capabilities of the Consultant and sub consultants. The summary should convey an understanding of the purpose of the project and the services required for performance.

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6.4 Project Team

Describe your team organization including the qualifications of the prime consultant and any sub consultants. Provide an organizational chart of the proposed team structure.

The following should be addressed:

- Demonstrate the firm's experience in each of the areas of expertise needed to successfully complete the project. This should include a description of prior experience in working with public agencies, including working with City staff.
- Ability for project team to perform the proposed work within the time limits of the project, considering their current and projected workload and assignments.
- Ability to provide quality control of all deliverables and be responsive to all issues in a timely manner.
- Provide project team resumes.

6.5 **Project Understanding**

Describe your understanding of the needs of the Martin Luther King Boulevard Underpass Reconstruction Project and the PA/ED process.

6.6 Detailed Work Plan

Provide a proposed work plan for development and implementation of the program as described in the Scope of Work. Describe the proposed approach and the activities to be accomplished. Describe how the team will complete each task. Alternative approaches to the project can be submitted if a rationale is given for the suggested changes. Information on software which will be used to prepare all working documents and final documents shall be provided to the City.

6.7 Examples of Experience with Similar Types of Work

Provide examples of projects similar in scope and size to this project.

6.8 References

Provide three client references for the firm, two of which are familiar with the project manager and key personnel. This shall include the name, company, contact information, and description of related work that was provided to the client.

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6.9 Schedule

Provide a Microsoft Project Schedule with key dates, milestones, and critical paths.

6.10 Cost Proposal

Proposer shall submit a cost proposal as part of their overall proposal. Identify all key members, including sub consultants, in a work chart, including their name, title, hours per task, hourly rate, total hours, direct labor, overhead, and percentage of work by task. Include total fees for all costs to complete all the tasks.

The cost proposal must be in a sealed envelope separate from the proposal.

7 PROPOSAL EVALUATION

The Consultant Selection process will approximately follow the timeline shown below, but is subject to change.

Event	<u>Date</u>
Post Request for Proposals	June 5, 2023
Written Questions submitted by	July 13, 2023
Response to Written Questions	July 19 , 2023
Proposals Due	July <mark>21</mark> , 2023
Negotiations	July 26 – August 3, 2023
City Council Approval	October 24, 2023

7.1 **Proposal Evaluation**

The selection committee will evaluate all proposals. This is a qualification and cost based selection, so ranking will be in accordance with the attached Evaluation Scoring Worksheet (See Attachment C). Cost will be a factor in evaluation, but selection is predominately qualifications based. Cost proposals will not be presented until after other categories have been evaluated. Local preference will also be a factor, so Stockton firms are encouraged to propose. Also, non-Stockton firms should try to use Stockton consultants whenever possible. Points will be weighed based on the amount of work being performed by the local consultants and/or businesses

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7.2 Negotiations

City staff will begin negotiations with the highest ranked firm. If an agreement cannot be reached after a reasonable period, as determined by the City, Then the City will terminate negotiations with the number one ranked firm and negotiations will be opened with the second ranked firm. The compensation discussed with one prospective Consultant will not be disclosed or discussed with another Consultant.

The selected consultant will be expected to enter into a Professional Services Contract with the City. Proposers should direct their attention to Attachment B, Instructions to Proposers for the most current insurance and indemnification language. It is expected that the successful proposer will accept these terms without modification.

The contract shall not be in force until the Council approves the contract and the City manager signs it. Work performed before the issuance of a Notice to Proceed cannot be paid by the City.

ATTACHMENTS:

Attachment A - Vicinity Map

Attachment B – Instructions to Proposers – non federally funded projects

Attachment C – Evaluation Scoring Sheet